



2661

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application No:	09/965,295	
	Filing Date	September 28, 2001	
	First Named Inventor	Anil Aggarwal	
	Art Unit	2661	
	Examiner Name	NA	
Total Number of Pages in This Submission	18	Attorney Docket Number	42390P12555

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Copies of 10 Cited Refs Return Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 4, 2005

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Kristin A. Morrow		
Signature		Date	March 4, 2005



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete if Known

Application Number 09/965,295  
Filing Date September 28, 2001  
First Named Inventor Anil Aggarwal  
Examiner Name NA  
Art Unit 2661  
Attorney Docket No. 42390P12555

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

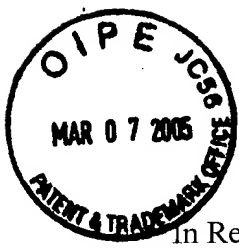
## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) IDS - No Fee.					0.00
SUBTOTAL (2)					(0.00)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature		Date	03/04/05		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ANIL AGGARWAL, ET AL.

Application No.: 09/965,295

Filed: September 28, 2001

For: Mechanism For Allowing Multiple Entities On The Same Host To  
Handle Messages Of Same Service Class In A Cluster

Art Group: 2661

Examiner: NA

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

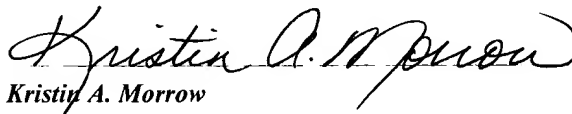
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 04, 2005

  
Gregory D. Caldwell, Reg. No. 39,926

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Los Angeles, CA 90025  
Telephone: (503) 439-8778

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Kristin A. Morrow

03-04-05

Date



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

**Complete if Known**

Application Number	09/965,295
Filing Date	September 28, 2001
First Named Inventor	Anil Aggarwal
Art Unit	2661
Examiner Name	NA
Attorney Docket Number	42390P12555

## NON PATENT LITERATURE DOCUMENTS

Examiner  
Initials\*Cite  
No. 1

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T.

SHAH et al., "A Mechanism For Reporting Topology Changes To Clients In A Cluster",  
U.S. Application No. 09/942,608., Intel Corporation.

PINTO et al., "A Mechanism For Managing Incoming Data Messages In A Cluster", U.S. Application No. 09/994,779., Intel Corporation.

RAJ., "Method And Device For Discovering Round Trip Delays Between Nodes In An Infiniband Cluster", U.S. Application No. 09/669,668., Intel Corporation.

SHAH et al., "A Cluster With Multiple Paths Between Hosts And I/O Controllers"., U.S. Application No. 09/450,381., Intel Corporation

SHAH., "System And Method For Providing Detailed Path Information To Clients", U.S. Application No. 09/694,492., Intel Corporation

TEITENBERG et al., "Method And Apparatus For Distributing Events Among Multiple Channel Drivers", U.S. Application No. 09/654,069, Intel Corporation.

SHAH et al., "Path Removal For Highly Connected Clusters", U.S. Application No. 09/658,491., Intel Corporation

SHAH., "Method And Apparatus For Determining Multiple Instances Of The Same Type Of Service Agent", U.S. Application No. 09/653,585., Intel Corporation

SHAH., "Dynamic Removal Of A Driver Stack When A Parent Driver Uses A Child Driver", U.S. Application No. 09/631,873., Intel Corporation.

SHAH., "Dlaying Loading Of Host-Side Drivers For Cluster Resources To Avoid Communication Failures"., U.S. Application No. 09/608,632., Intel Corporation

Examiner  
Signature

Date	Considered
11/1/2011	11/1/2011
11/2/2011	11/2/2011
11/3/2011	11/3/2011
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12/29/2011	12/29/2011
12/30/2011	12/30/2011
12/31/2011	12/31/2011

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number.<sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.  
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